

④-1 Next J-GATE Check List (Basic Function)

- Trading Participants and Information Vendors who are newly connecting to J-GATE should perform the tests based on this Check List.
- For Participants who are using ISV, tests can be done by ISV. However, Check Lists must be submitted by Trading Participant or Information Vendor.
- Please kindly submit your Check List by email by [28th April \(Thu\) 2016](#).

Company Name		Securities Code (*1)		Date Submitted	
Name		Telephone No.		Email Address	

*1: For Securities Code, please enter the five digit code number issued by the Securities Identification Code Committee instead of OCR code. Only Securities companies are required to fill in this field.

◆Basic Information of Your Participant System

Vendor Name	Trading Technologies				←If there are multiple vendors please mention them all ←Please complete the form
User Types	Terminal User Y	Trading (Admin) Y	Trade Guard Y	Trading Y	
	HFT Y	MM Y	Market Y	ITCH Y	

◆Confirmation Items

- Select "Confirmed" in the Results column for the items checked.
- For functions not supported, please select "NA" in the Results column.

No	Large Category	Mid-Category	Small Category	Confirmation Item	Reference (Related Message)	Results	Confirm on Test Server	Confirmation Required/Not Required by User Type						
								Trading (Admin)	Terminal Trading HFT	MM	Market	ITCH	Trade Guard	
1	Common	Common Process	Login	Able to Login (Test environment is acceptable as well)		Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
2			Send Application Ready Status	Able to send Login completion notice to Center	UI1	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
3			Obtain Session Transition Info	Able to obtain Session Transition information	BI41	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
4		Instrument Information	Broadcast	instrument class	Able to obtain Instrument class, Combo class	DQ120	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5				instrument series	Able to obtain Instrument information	DQ124	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6				Combo Series	Able to obtain Instrument information related to Combination Series	DQ126	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
7				Ready Notice	Able to receive Ready Notices such as Settlement Price Ready Notice	BI7	Not Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
8	Order/Execution	Trading Transaction	New Order	Can confirm processing results of the Price, Order quantity, Execution condition, Buy/Sell side of the Orders entered	M031, BO5	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
9				Can confirm fields for Internal Processing, Trading ID, Principal/Agency type, Trading Purpose type of Acceptance Result Notice and Execution Notice		Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
10				Can identify if the entered Orders are Fully Expired, Fully Executed, Partially Executed (remaining quantity expired), Fully Entered on Order Book by the Return value		Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
11			Order Modification	Can modify a specific Order by Instrument Code, Buy/Sell side, or Order Acceptance Number	M033, BO5	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
12				Can confirm Modification results by Acceptance Result notice		Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
13			Order Cancellation	Can cancel a specific Order by Instrument Code, Buy/Sell side, or Order Acceptance Number	M04, BO5	Confirmed	Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
14		Can confirm Cancellation results by Acceptance Result notice		Confirmed		Available	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		
15	Can confirm Cancellation quantity by Return value	Confirmed		Available		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>			

No	Large Category	Mid-Category	Small Category	Confirmation Item	Reference (Related Message)	Results	Confirm on Test Server	Confirmation Required/Not Required by User Type ○: Required					
								Trading (Admin)	Terminal Trading HFT	MM	Market	ITCH	Trade Guard
16			Ordering Mass Quote	Can identify the number of items entered on the Order book, and the Order Acceptance Number	M096	Not Covered	Available	-	-	○	-	-	-
17		Trading Broadcast	Receiving Execution Notice	Can receive Execution Notice	BD6	Confirmed	Available	○	○	○	-	-	-
18	Market Information	Market Information	Receiving Price Information	Can obtain Price information	BD70	Not Covered	Available	-	○	-	○	-	-
19			Receiving Quote Information	Can obtain Quote information	B016, B017	Not Covered	Available	-	○	-	○	-	-
20			Index, Four Prices (OHLC)	Can obtain Index value and Four prices (OHLC)	BD2	Not Covered	Available	-	○	-	○	-	-
21			Settlement Value	Can obtain information related to Settlement value	EB10, RQ62	Not Confirmed	Available	○	○	○	○	-	-
22			Reference Price/Price Limit	Can obtain information related to Reference Price/Price Limit	BL51, IQ2147	Not Confirmed	Available	○	○	○	○	-	-
23	ITCH	Market Information	Multicast Participation	Can participate in designated Multicast Group	-	Confirmed	Available	-	-	-	-	○	-
24			Obtain Instrument Info	Can obtain Instrument information and load it to internal system	-	Confirmed	Available	-	-	-	-	○	-
25			Obtain Session Transition Info	Can obtain Session Transition information (for all Instruments)	-	Confirmed	Available	-	-	-	-	○	-
26			Receiving Quote Information	Can obtain Quote information	-	Confirmed	Available	-	-	-	-	○	-
27			Receiving Execution Info	Can obtain Execution information	-	Confirmed	Available	-	-	-	-	○	-
28	Trade Guard	Essential Function	Setting PTLG	TradeGuard User is able to group Trading Users under Admin Sub-Participant, and Trading Users under General Sub-Participant in PTLG	DC90, DQ90	Confirmed	Available	-	-	-	-	-	○
29	Trade Guard	Essential Function	Setting Tradable	TradeGuard User is able to set Tradables of each PTLG	DC90, DQ90	Confirmed	Available	-	-	-	-	-	○
30	Trade Guard	Essential Function	Setting Restricted instrument	TradeGuard User is able to set each PTLG's Restricted Instrument to ON, and as a result of this, not able to enter Orders to Products other than the Products defined as Tradables	DC90, DQ90	Confirmed	Available	-	-	-	-	-	○
31	Trade Guard	Essential Function	Setting Max size	TradeGuard User is able to set max size of each Tradable under each PTLG, and as a result of this, Orders which exceed this value are rejected	DC90, DQ90	Confirmed	Available	-	-	-	-	-	○