

-1 Next J-GATE Check List (Basic Function)

- Trading Participants and Information Vendors who are newly connecting to J-GATE should perform the tests based on this Check List.
- For Participants who are using ISV, tests can be done by ISV. However, Check Lists must be submitted by Trading Participant or Information Vendor.
- Please kindly submit your Check List by email by [28th April \(Thu\) 2016](#).

| | | | | | | |
|--------------|--|---------------|----------------------|---------------|----------------|--|
| Company Name | | | Securities Code (*1) | | Date Submitted | |
| Name | | Telephone No. | | Email Address | | |

*1: For Securities Code, please enter the five digit code number issued by the Securities Identification Code Committee instead of OCR code. Only Securities companies are required to fill in this field.

◆Basic Information of Your Participant System

| | | | | | | | | | |
|-------------|----------------------|---|-----------------|---|-------------|---|---|---|--|
| Vendor Name | Trading Technologies | | | | | | --If there are multiple vendors please mention them all --Please complete the form | | |
| | | | | | | | | | |
| User Types | Terminal User | Y | Trading (Admin) | Y | Trade Guard | Y | Trading | Y | --Please select all applicable options |
| | HFT | Y | MM | N | Market | Y | ITCH | Y | |

◆Confirmation Items

- Select "Confirmed" in the Results column for the items checked.
- For functions not supported, please select "NA" in the Results column.

| No | Large Category | Mid-Category | Small Category | Confirmation Item | Reference (Related Message) | Results | Confirm on Test Server | Confirmation Required/Not Required by User Type ○: Required | | | | | |
|----|-----------------|------------------------|--------------------------------|--|-----------------------------|---------------|------------------------|---|----------------------|----|--------|------|-------------|
| | | | | | | | | Trading (Admin) | Terminal Trading HFT | MM | Market | ITCH | Trade Guard |
| 1 | Common | Common Process | Login | Able to Login (Test environment is acceptable as well) | | Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 2 | | | Send Application Ready Status | Able to send Login completion notice to Center | UI1 | Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 3 | | | Obtain Session Transition Info | Able to obtain Session Transition information | BI41 | Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 4 | | Instrument Information | instrument class | Able to obtain Instrument class, Combo class | DQ120 | Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 5 | | | instrument series | Able to obtain Instrument information | DQ124 | Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 6 | | | Combo Series | Able to obtain Instrument information related to Combination Series | DQ126 | Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 7 | | Broadcast | Ready Notice | Able to receive Ready Notices such as Settlement Price Ready Notice | BI7 | Not Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 8 | Order/Execution | New Order | | Can confirm processing results of the Price, Order quantity, Execution condition, Buy/Sell side of the Orders entered | M031, B05 | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 9 | | | | Can confirm fields for Internal Processing, Trading ID, Principal/Agency type, Trading Purpose type of Acceptance Result Notice and Execution Notice | | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 10 | | | | Can identify if the entered Orders are Fully Expired, Fully Executed, Partially Executed (remaining quantity expired), Fully Entered on Order Book by the Return value | | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 11 | | Order Modification | | Can modify a specific Order by Instrument Code, Buy/Sell side, or Order Acceptance Number | M033, B05 | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 12 | | | | Can confirm Modification results by Acceptance Result notice | | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 13 | | | | Can cancel a specific Order by Instrument Code, Buy/Sell side, or Order Acceptance Number | M04, B05 | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 14 | | Order Cancellation | | Can confirm Cancellation results by Acceptance Result notice | | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 15 | | | | Can confirm Cancellation quantity by Return value | | Confirmed | Available | ○ | ○ | ○ | - | - | - |

| No | Large Category | Mid-Category | Small Category | Confirmation Item | Reference (Related Message) | Results | Confirm on Test Server | Confirmation Required/Not Required by User Type ○: Required | | | | | |
|----|--------------------|--------------------|--------------------------------|--|-----------------------------|---------------|------------------------|---|----------------------|----|--------|------|-------------|
| | | | | | | | | Trading (Admin) | Terminal Trading HFT | MM | Market | ITCH | Trade Guard |
| 16 | | | Ordering Mass Quote | Can identify the number of items entered on the Order book, and the Order Acceptance Number | M096 | Not Covered | Available | - | - | ○ | - | - | - |
| 17 | | Trading Broadcast | Receiving Execution Notice | Can receive Execution Notice | BD6 | Confirmed | Available | ○ | ○ | ○ | - | - | - |
| 18 | Market Information | Market Information | Receiving Price Information | Can obtain Price information | BD70 | Not Covered | Available | - | ○ | - | ○ | - | - |
| 19 | | | Receiving Quote Information | Can obtain Quote information | B016、B017 | Not Covered | Available | - | ○ | - | ○ | - | - |
| 20 | | | Index, Four Prices (OHLC) | Can obtain Index value and Four prices (OHLC) | BD2 | Not Covered | Available | - | ○ | - | ○ | - | - |
| 21 | | | Settlement Value | Can obtain information related to Settlement value | EB10, RQ62 | Not Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 22 | | | Reference Price/Price Limit | Can obtain information related to Reference Price/Price Limit | BL51, IQ2147 | Not Confirmed | Available | ○ | ○ | ○ | ○ | - | - |
| 23 | | | Multicast Participation | Can participate in designated Multicast Group | - | Confirmed | Available | - | - | - | - | ○ | - |
| 24 | ITCH | Market Information | Obtain Instrument Info | Can obtain Instrument information and load it to internal system | - | Confirmed | Available | - | - | - | - | ○ | - |
| 25 | | | Obtain Session Transition Info | Can obtain Session Transition information (for all Instruments) | - | Confirmed | Available | - | - | - | - | ○ | - |
| 26 | | | Receiving Quote Information | Can obtain Quote information | - | Confirmed | Available | - | - | - | - | ○ | - |
| 27 | | | Receiving Execution Info | Can obtain Execution information | - | Confirmed | Available | - | - | - | - | ○ | - |
| 28 | Trade Guard | Essential Function | Setting PTLG | TradeGuard User is able to group Trading Users under Admin Sub-Participant, and Trading Users under General Sub-Participant in PTLG | DC90, DQ90 | Confirmed | Available | - | - | - | - | - | ○ |
| 29 | Trade Guard | Essential Function | Setting Tradable | TradeGuard User is able to set Tradables of each PTLG | DC90, DQ90 | Confirmed | Available | - | - | - | - | - | ○ |
| 30 | Trade Guard | Essential Function | Setting Restricted instrument | TradeGuard User is able to set each PTLG's Restricted Instrument to ON, and as a result of this, not able to enter Orders to Products other than the Products defined as Tradables | DC90, DQ90 | Confirmed | Available | - | - | - | - | - | ○ |
| 31 | Trade Guard | Essential Function | Setting Max size | TradeGuard User is able to set max size of each Tradable under each PTLG, and as a result of this, Orders which exceed this value are rejected | DC90, DQ90 | Confirmed | Available | - | - | - | - | - | ○ |